



Divisions of General Practice

Information Management Maturity Framework
(IMMF)

Toolkit – Staff surveys of awareness
and compliance with the information
lifecycle



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Purpose

The purpose of the “Staff surveys of awareness and compliance with the information lifecycle” tool is to assist Divisions to address the action task below.

Action Task	Capacity Gap	IMMF Element
Run surveys to test staff awareness and compliance with the information lifecycle (ILC)	Reactive to Defined	Records and ILC

This task should have been identified from the Information Management Maturity Framework (IMMF) gap analysis and toolkit specification.

This tool provides advice for Chief Executive Officers (CEOs) who wish to adopt a standard survey methodology with the survey content varied to meet the subject area, measuring staff awareness and compliance with information lifecycle (ILC).

Using this tool will assist Division CEOs to establish the extent to which staff are aware of the ILC and estimate their degree of compliance with the Division’s ILC processes and procedures. To identify trends and highlighting ILC areas that may benefit from specific support or training.

This tool should be used in conjunction with the earlier tools for “Training pack for the ILC” and “Checklists for incorporating the ILC into the Division’s programs and services”. It is the outcomes of these tools that the survey is testing for awareness and compliance.

Parts of this tool are designed to be re-usable for other survey topics represented by other IMMF tools for:

- Staff surveys of awareness and satisfaction with the Division’s IM processes and systems
- Staff surveys of awareness and compliance with IM principles policies and standards
- Staff surveys of awareness and compliance with IM legislation and community standards

Specifically Parts 1 and 4 of this tool are reused in each of the other tools listed above.

Explanatory notes

This tool assists CEOs to gather evidence based feedback from their staff on the Division’s use of the IMMF ILC. It is a not uncommon when dealing with the ILC, that there are significant differences in the experience of senior management and the Board of a Division and the rest of the Division’s staff.

By developing and using staff surveys a CEO can implement a process to gather direct feedback on the effectiveness of the Division’s processes and procedures for the ILC. This feedback can then be used to initiate PDSA cycles for continuous improvement in these areas.

Survey design and the analysis of survey response data are specialist fields and this IMMF tool provides basic information. It is not an alternative to appropriate consultation with experts in these fields when a Division is contemplating a large scale or more complex survey.



The reference sources for this tool range from material primarily about the ILC (see James Robertson’s paper) to survey techniques and issues (summarised in Fritz Scheuren’s “What is a Survey?”). A full list of references is cited at the end of the document.

Instructional design

This tool consists of four parts:

- Part 1 – Principles underpinning the survey method
- Part 2 – Estimating awareness and compliance
- Part 3 – Survey structure and sample questions
- Part 4 – Survey technology and tools

Part 1 Principles underpinning the survey method

This Part presents a brief discussion of survey fundamentals when applied internally within an organisation. It should be used as a general guide for CEOs and their staff to assist them to create survey instruments that generate information adequate for the Divisions’ continuous improvement objectives for implementing uniform processes and procedures for the ILC.

Part 2. Estimating awareness and compliance

Part 2 focuses on specific challenges in measuring, or perhaps more accurately, estimating perceptual constructs such as awareness and compliance.

Part 3. Survey structure and sample questions

Part 3 provides examples of survey questions and statements including a widely-used method for estimating awareness and compliance based on the Likert method.

Part 4. Survey technology and tools

Part 4 briefly discusses technology options for conducting surveys.

Summary of outcomes and resources

Workstreams	Outcomes	Resources
New processes or procedures to be adopted	CEOs develop and implement a survey to measure staff awareness and compliance with the Division’s ILC processes and procedures.	This tool is designed to be self-administered.
Technology to be developed or acquired	CEOs have an adaptable, paper-based or online survey tool for estimating staff ILC awareness, and compliance.	
Culture to be influenced	Staff feedback on the ILC within the Division’s programs and services is used for continuous improvement in ILC processes and procedures.	

Part 1: Principles underpinning the survey method



Achieving a sound and consistent approach to creating and using surveys relies on a foundation of four core principles:

1. **The method is the servant, not the master.** Survey design is important and so too is survey implementation.

- 1.1. Aim for a balance amongst efficiency, data quality and significance.
- 1.2. Decide whether to identify respondents or not; profiling anonymous respondents.
- 1.3. Choose the most appropriate approach to the survey.

Staff surveys need to be scaled and delivered in many Divisions to accommodate small numbers of respondents. For a small staff, the ILC survey could take the form of a few informal questions asked verbally and the responses recorded by the CEO at a one of the regular staff meetings. A more developed approach could take the form of a focus group with an independent and experienced facilitator.

In larger Divisions where staff members may be more difficult to bring together, an email survey or the use of an online survey tool such as SurveyMonkey¹ may be a better choice.

Sensitive issues are best surveyed through anonymous paper-based responses. However, this approach might be impractical in very small Divisions. In some situations it might be better for Divisions to work collaboratively and cross-survey each other, or use the services of an experienced independent focus group facilitator.

If recipients are routinely surveyed, it is a poor practice to ask them to repeatedly re-enter administrative information that remains unchanged over time. Worse still is to ask a recipient to re-enter the same information in more than one place in the same response. A preferred approach, for example with staff details is to ask a respondent to nominate their job description, only if there is a difference from previous responses to this information.

Recipients who are to be identified may alternatively be shown what the Division believes to be their administrative data and then be asked to only correct anything that has changed.

2. **Understanding respondent psychology** is also important.

- 2.1. Attitudinal questions have no correct answer and opinions are difficult to validate.
- 2.2. Attitudes are thought to have:
 - Cognitive;
 - Evaluative; and
 - Behavioural components.
- 2.3. Avoid trying to influence the result by asking leading questions.
- 2.4. Avoid asking the respondents to incriminate themselves or their co-workers.

From a CEO's perspective, it is important to remember that an IM management problem may not be a priority for staff and care must be taken not to crystallise the problem by raising its profile in a survey, particularly when the potential solution may be painful.

Similarly, the nature of the issue and how a question is asked has the potential to generate responses that the staff feel the CEO wants to hear. Many survey respondents seek to avoid confrontation and are sparing with bad news, while others seek any opportunity to repeatedly and forcefully express their views.

CEOs should ensure that respondents to staff surveys are informed about what the outcomes of the survey were and what action(s), if any, will result from their investment of time and thought into making a response. Staff who are repeatedly surveyed and who perceive that the exercise is not likely to lead to meaningful change may be less willing to respond thoughtfully to future surveys.

3. **It is easy to ask the wrong question** and also easy to ask the right question the wrong way.

- 3.1. Stand in the shoes of the intended respondent.
- 3.2. Consider the question wording, style, type and sequence.

¹ See website details in the References



3.3. Minimise the survey length and clarify the layout.

Survey respondents often face the daunting task of offering an opinion based on their interpretation of the meaning and weight of comparative adjectives or other expressions of degree such as “significant” or “urgent”. Survey designers should aim for language comprehension levels about those of early high school students, minimising the used of jargon and emotive words. In an example statement below, instead of describing induction training as “good” or “poor”, the term “adequate for me to do my job effectively” helps to avoid different perceptions about what the other relative terms might mean.

A corollary is that the responses should provide information that is actionable from a CEO’s perspective. It is not sufficient, for example, to ask whether respondents are satisfied or dissatisfied about a service and the degree of either. It is better to also find out what aspects drive respondents views of service quality².

Pre-testing survey formats, and questions or statements is a proven means of ensuring that the survey will be consistently well-understood.

Low response rates are highly correlated with opaque, irrelevant or ambiguous questions and surveys that have even the slightest appearance of being too time consuming to read and complete.

Likewise, response rates will be low if the survey recipients feel that no action will follow, regardless of their responses, suggesting that some surveys will benefit from pre-marketing.

4. Build in some reality checks

Superior surveys build in some checks to expose donkey voting and false responses. The most frequently used technique is to randomise questions and statements and to phrase some in the negative and others in the positive. This forces respondents to read them carefully and to work out that responding in the positive to a positive statement would mean that they need to respond to the same issue presented in the negative through a negative answer.

Responses that are all “very positive” or “very negative” may reflect a poor survey design and / or a worthless response, particularly if two or more statements or questions are contradictory if answered the same way.

² See Parasuraman, Zeithaml and Berry in the References



Part 2: Estimating awareness and compliance

Awareness and compliance are quite different constructs, demanding different approaches to estimation.

- Awareness – estimated indirectly through indicator topics or self-disclosure.
- Compliance – estimated through attitude survey, observation or audit sampling.

Compliance can be measured by assessing individuals' attitudes and correlating these with the likelihood that they will comply with policy and procedure. Actual behaviour can be measured directly through sampling a number of transactions and checking to see that they were undertaken properly according to documented policy and process, accurately and consistently. For example, a piece of information was captured and filed securely, was retrievable within an acceptable time and effort, was distributed properly, and destroyed in accordance with legislative and organisational requirements.

Awareness can be estimated by surveys using instruments that are variations on the Likert scale or multiple choice checklists of statements.

Brief discussion of the Likert scale

The Likert scale is probably the most widely-used tool in psychometric surveys. It is characterised by making a statement (not by posing a question) and asking respondents to nominate the extent to which they agree – or disagree with the statement by choosing one of the following options, or something similar:

1. Strongly disagree
2. Disagree
3. Neither agree or disagree – or no opinion
4. Agree
5. Strongly agree

Some scales are extended to include a zero which can mean "I do not know – or that this statement is not relevant for me". As it is for the other scores, it is important to define terms at the start of the survey and to be consistent in their use. In other cases, survey designers use a four point scale, dropping out the option for "fence-sitting" (in the above example, number "3").

This scale is described as "balanced" since there are equal numbers of negative and positive responses offered. Depending on the nature of the subject of the survey, some designers use an unbalanced scale. For example, a negatively balanced scale on the left and a positively-balanced scale on the right:

- | | | |
|---|----|-------------------------------|
| 1. Very strongly disagree | or | 1. Strongly disagree |
| 2. Strongly disagree | | 2. Disagree |
| 3. Disagree | | 3. Neither agree nor disagree |
| 4. Neither agree nor disagree – or no opinion | | 4. Agree |
| 5. Agree | | 5. Strongly agree |
| 6. Strongly agree | | 6. Very strongly agree |

The decision to use either a balanced or an unbalanced scale depends on the underlying premise of whether the survey designer anticipates a predominantly negative (or a positive) response and the aim is to find out how negatively or how positively respondents feel about the topic. A balanced scale typifies an open position lacking expectations on the part of the survey designer.



Working out which issues matter most to a respondent

Some researchers make the assumption that the word “strongly” shows which issues matter the most to respondents. Another possibly superior approach is to pair statements with a measure of significance. For example:

S1A The information management training I received when I started work with the Division was adequate for me to do my job effectively.

1 – Strongly disagree; 2 – Disagree; 3 – Agree; 4 - Strongly Agree

S1B Information management training is an important issue for me.

1 – Strongly disagree; 2 – Disagree; 3 – Agree; 4 - Strongly Agree

If someone judged their experience of training as a “4”, and said they expected a “3”, subtracting the expectation from the perception = +1 suggesting that they were satisfied by their training experience.

Similarly, more positive scores suggest in this case lower expectations, genuinely superior training and / or more easily satisfied respondents. Conversely, a more negative result suggests room for improvement in the training, creating better informed expectations, or both.

In a larger sample, one might expect a range of calculated difference scores on a particular issue. The meaning of results clustering around highly positive or highly negative calculated difference is clear.

Most experienced users of paired Likert style of surveys prefer a wider scale such as 1-10, to allow respondents more room to express finer shades of perception and expectation. It is important to define what each band means. For example, it is helpful to illustrate shades of meaning amongst terms like “slightly disagree”, “somewhat agree” or “neither disagree nor agree”. The meaning of these terms often becomes a subject of debate, but generally they can be thought of as shades of negative and positive response.

Looking at the responses

Response rate

Survey response rates fluctuate enormously and depend on many factors both within and outside the control of those conducting the survey. A response rate of 2% of website visitors could be considered a good result in an unprompted internet survey. A response rate of less than 90% in a face-to-face verbal survey might be considered to be poor.

Preparation for e-mail-driven, web-based or written surveys is a key factor in boosting response rates. This might entail telephoning the target recipients and securing their co-operation in advance. Timing the survey to minimise its intrusion on respondents and keeping the survey as short as possible also contribute to better response rates. Follow-up calls may be another way to boost the response rate and research into e-mail-based surveys has shown that most survey recipients, who have not responded to a follow-up telephone call within the same business day, will never respond. A useful discussion on managing non-responders to e-mail surveys can be found at the e-mail labs website³

Bias

Perceptual surveys all face a common problem of the potential for bias through poor selection of the sample of respondents. This can occur through a common phenomenon where only people with an axe to grind bother to respond. Put another way, it's easier to collect complaints than it is to collect compliments. Bias is often easy to detect when respondents score all the statements at one extreme or the other, or fence-sit in the middle.

³ See References and assistance section



When a survey is anonymous, such a biased result makes the response dubious at best and more likely it is worthless. If many responses are biased in the same negative direction, the issue should be regarded as serious.

Care must be taken in designing the statements in the survey instrument to avoid building bias into the design. Common ways to avoid this are to pose some questions in the negative and to avoid a sequence of statements likely to maintain its own persuasive momentum.

Measuring central tendency and variation – the unavoidable topic - statistics

Using the Likert scale imposes the need for survey analysts to be careful with their choice of statistics for assessing central tendency and variation. Likert scale data dictate the use of non-parametric statistics. Mean or average cannot be used. Instead, central tendency can be expressed as the median or middle score (the score where half of the responses fall above and half below), or the mode (the score being chosen most often). Similarly, in expressing the variation amongst the scores, the standard deviation cannot be used. Variance is meaningfully expressed as the range of scores.

While data are often presented as counts within each category (i.e. number of respondents who chose scores in each of the bands 1-4 for example), they are often represented visually and reduced to percentages on bar graphs or pie charts. Avoid the temptation to compare the percentages in a category of one sample with those in the same category of another sample, particularly if the numbers of respondents are different. Five responses out of ten choosing “4” for example, do not carry the same weight of evidence as 5,000 out of 10,000.

Missing data

Missing data tell their own story too. If respondents consistently omit a response to surveys, or a particular question in a survey, it suggests that the whole survey or a specific question was either ambiguous, irrelevant or perceived as a sensitive matter or an invasion of privacy. Offering respondents a “not relevant” or a “don’t know” option helps to answer the issue of missing data and differentiates an accidental omission from a considered deletion.

When a survey is part of a longitudinal study (several surveys over a time period), the pattern of data provided by an individual can permit an estimate of the missing data. For example, a missing data item could be guessed from the mode or median of previous scores.



Part 3: Survey structure and sample survey questions

The following description of a general survey structure is common to all the IMMF survey tools and in its entirety is most appropriately used when the survey recipient numbers are larger for example, more than 50 people or organisations. As such, the structure is most appropriately used for external surveys.

In the case of staff surveys, particularly in smaller Divisions, a less formal approach could be to discuss a single survey document at a routine staff meeting and produce a consensus view with any dissention noted accordingly. The staff should be notified in advance to foster well-considered responses.

In the context of assessing staff awareness and compliance with the ILC, some aspects may reflect a general consensus and others may depend upon individual staff members' skills, prior knowledge and experience and training. More experienced and longer-serving staff members may be in a position to offer guidance to other staff to assist them to reach a well-informed appreciation of the ILC.

1. Covering letter (email or phone call)

The covering letter serves to introduce the survey, state to whom it is sent and its purpose. It is good practice to indicate how much time the survey is likely to take to complete (realistically measured by the designer). The letter should also inform the recipient the date by when the survey must be completed and returned. This date should allow sufficient time for follow-ups of laggards and for processing the responses.

It is a good practice to state how the survey responses will be used, and if the response is to be anonymous, that this is the case. Alternatively, providing an undertaking that responses will be kept confidential, that data will be de-identified and that only statistical information will be retained or that paper responses will be destroyed promptly.

2. Title and respondent's administration details or a notice ensuring anonymity

Allow the respondent to amend details and request that they need only to enter the changes, not re-enter all the information. Allow the recipient to nominate an alternative respondent if that is appropriate.

If the survey response is to be anonymous, it is important to state how this will be achieved. Particularly sensitive matters may be surveyed through a third party acceptable to staff and the CEO. The third party should summarise and de-identify data.

3. Instructions for respondents

Provide a short description of how the survey recipients are expected to respond to the questions. The scoring of Likert scale questions must be defined and it is useful to provide an example question with a response, typically a crossed or ticked box next to the preferred score (for email or web surveys) and often by circling the preferred score (for paper-based surveys).

4. Likert scale statements

Limit the number of statements to the minimum number required to establish a respondent's view on the survey topic(s). Surveys should concentrate on the core business issue(s) and the expected contributing factors. However, care must be taken to avoid leading the witness or preventing them from offering alternative contributing factors.



5. Open questions seeking a brief written narrative or qualitative response

Open questions allow respondents to offer alternative perspectives on the survey issues and this can be valuable when the number of responses is too small to draw conclusions from the scores on the quantitative Likert scale statements. When the number of responses is large, qualitative responses to open questions can be classified and counts presented as the percentage of responses who offered a particular perspective.

The answers to open questions are difficult to process systematically and time-consuming where large numbers of responses are received. They may, however, confirm or deny the validity of preceding quantitative scored statements.

6. A request for any other comments

Allowing respondents an opportunity to raise a matter not canvassed in the survey helps to emphasise the value that is placed on their opinions and also has the potential to widen the focus of the survey to collect unanticipated information.

7. A conclusion, thanking the respondent

This section is intended to make it clear to recipients that the time and effort they have given to make a response is valued and that they are welcome to contact the survey manager or a nominated person with any questions or comments.

8. Return address details including a contact person's details

It is a good practice to provide the return address and the deadline for responses in the covering letter and on the survey itself, preferably at the end of the survey. The aim is to give the respondents sufficient cues to encourage them to complete the response and return it as soon as possible.

In addition, respondents who need assistance should be given the contact details of a person they can call or email to ask questions, seek clarification, or provide other feedback. It is incumbent on the survey manager to ensure that there is always someone available throughout the time before the survey deadline to answer questions and receive feedback. Return phone calls or email responses should be made on the same business day or the morning of next business day at the latest.



Sample survey questions and statements for surveys of awareness, and compliance with the ILC

Q1 = question 1; S1 = statement 1.

1. Awareness

Q1 Which amongst the following six statements about the Information Life Cycle (ILC) are true and which are false?

Choose (circle) either T or F for each statement.

- | | | |
|---|---|---|
| 1. The ILC is based on a series of steps or stages from planning through to the disposal of information | T | F |
| 2. The ILC is a module of the Division's DIS/CRM system | T | F |
| 3. The ILC is a common approach for IM to be adopted by all the Division's business programs and member service | T | F |
| 4. A key concept of the ILC is the planning for reuse of information | T | F |
| 5. The ILC applies to all formats of information: paper, photographs, emails, electronic files, or voice recordings | T | F |
| 6. The ILC considers information to be intangible and of no measurable value as an asset | T | F |

Q2 The ILC has six steps, beginning with information planning and ending with the disposal of information. Can you name some or all of the four steps in between?

Q3 For each of the following statements, please choose the response that best matches how you see the relevance of the ILC:

1. *The ILC doesn't have anything to do with my work – it's a computer thing.*

(Choose one option)

1 – Strongly disagree; 2 – Disagree; 3 - Slightly disagree; 4 – Agree somewhat; 5 – Agree



2. *The ILC is mostly a management concern.*

(Choose one option)

1 – Strongly disagree; 2 – Disagree; 3 - Slightly disagree; 4 – Agree somewhat; 5 – Agree

3. *The ILC is mostly important for other people who do mainly data entry or records management work;*

(Choose one option)

1 – Strongly disagree; 2 – Disagree; 3 - Slightly disagree; 4 – Agree somewhat; 5 – Agree

4. *Understanding how we should best collect, organise and access information impacts our Division's ability to serve our clients.*

(Choose one option)

1 – Strongly disagree; 2 – Disagree; 3 - Slightly disagree; 4 – Agree somewhat; 5 – Agree

5. *The ILC does not help us to retrieve information efficiently.*

(Choose one option)

1 – Strongly disagree; 2 – Disagree; 3 - Slightly disagree; 4 – Agree somewhat; 5 – Agree

6. *The ILC helps me to ensure that we keep information accurate and up to date.*

(Choose one option)

1 – Strongly disagree; 2 – Disagree; 3 - Slightly disagree; 4 – Agree somewhat; 5 – Agree

Q4 *Do you have any comments about how the ILC impacts your work?*

S1 *I rate my knowledge of ILC rules and procedures for collecting and storing information, distributing information and disposing of records as:*

1. *I have been involved in information planning in our Division.*

(Choose one option)

1 – Strongly disagree; 2 – Disagree; 3 - Slightly disagree; 4 – Agree somewhat; 5 – Agree



2. *I know which information should be classified and stored.*

(Choose one option)

1 – Strongly disagree; 2 – Disagree; 3 - Slightly disagree; 4 – Agree somewhat; 5 – Agree

3. *I know which information is required for us to serve our members and report to the Department of Health and Ageing.*

(Choose one option)

1 – Strongly disagree; 2 – Disagree; 3 - Slightly disagree; 4 – Agree somewhat; 5 – Agree

4. *I know which information must be captured accurately and on time.*

(Choose one option)

1 – Strongly disagree; 2 – Disagree; 3 - Slightly disagree; 4 – Agree somewhat; 5 – Agree

5. *I know which information can be re-used by different stakeholders.*

(Choose one option)

1 – Strongly disagree; 2 – Disagree; 3 - Slightly disagree; 4 – Agree somewhat; 5 – Agree

6. *I know which information may be freely distributed and which information must be kept confidential*

(Choose one option)

1 – Strongly disagree; 2 – Disagree; 3 - Slightly disagree; 4 – Agree somewhat; 5 – Agree

7. *I know where to store and how to retrieve information”.*

(Choose one option)

1 – Strongly disagree; 2 – Disagree; 3 - Slightly disagree; 4 – Agree somewhat; 5 – Agree

8. *I know when it is appropriate to archive information and how this is done.*

(Choose one option)

1 – Strongly disagree; 2 – Disagree; 3 - Slightly disagree; 4 – Agree somewhat; 5 – Agree

9. *I do not know which information must not be destroyed or disposed.*

(Choose one option)

1 – Strongly disagree; 2 – Disagree; 3 - Slightly disagree; – Agree somewhat; 5 – Agree



10. I rate my ILC knowledge and skills as: (Choose one);

1. Needing training in ILC fundamentals
2. Needing some refresher training
3. Adequate to do my job well
4. Benefitting from an upgrade through specific training in information policy, computer applications or records management
5. Extensive – I am able to support and assist my colleagues

Any comments about ILC training?

CEOs who have undertaken specific ILC initiatives within their Divisions should adapt or add to these questions to assess the awareness that their staff have of those ILC initiatives. Similarly, amongst the questions presented below, CEOs should ask additional questions that test staff compliance with their own ILC initiatives.

2. Compliance

S2 *Information Lifecycle procedures and processes are not very important in directing the way the Division provides services to our members.*

(Choose one option)

1 – Strongly disagree; 2 – Disagree; 3 - Slightly disagree; 4 – Agree somewhat; 5 – Agree

S3 *I know which information must be collected, where it should be kept and how to retrieve it.*

(Choose one option)

1 – Strongly disagree; 2 – Disagree; 3 - Slightly disagree; 4 – Agree somewhat; 5 – Agree

S4 *I always collect, store, maintain and dispose of our Division's business information in accordance with ILC policy and procedures.*

(Choose one option)

1 – Strongly disagree; 2 – Disagree; 3 - Slightly disagree; 4 – Agree somewhat; 5 – Agree

S4 *I rarely if ever experience difficulty finding information that has been stored by someone else.*

(Choose one option)

1 – Strongly disagree; 2 – Disagree; 3 - Slightly disagree; 4 – Agree somewhat; 5 – Agree



Measuring Compliance Directly

To measure IM compliance directly, a CEO may choose a number of actual or synthetic incoming and outgoing communications or other transaction types and check that:

1. Only appropriate information is retained.
2. Inappropriate material is dealt with according to policy and procedures.
3. Paper-based and electronic information has been captured or distributed according to the Division's IM policy and procedures.
4. Information has been stored consistently by all staff members and can be retrieved efficiently.
5. If information is distributed, privacy requirements have been adequately addressed.
6. Information is disposed in accordance with Divisional policy and the Archives Act.

A quick way to check compliance for storage of information is to send a set of different emails or paper-based messages to staff, after a short interval confirm which messages have been retained and locate where they have been filed. Efficiency of retrieval can also be tested in a similar way by requesting a copy of a previously sent Email or paper communication. The nature of these test messages should reflect the predominant information-based work of the Division.

Compliance with privacy and confidentiality policy can also be tested by periodically attempting to retrieve information that should have restricted access.



Part 4: Survey technology and tools

Both the American Statistical Association and the SurveyMonkey web sites (listed in the Reference section) have precise, clear and thorough discussions of alternative choices for survey technology.

They present information about the pros and cons of survey method choices.

Survey method	Pros	Cons
Formal and informal verbal inquiries	Low cost, efficient with small groups.	More difficult to record and track information and evolving opinion than other survey methods, unsuitable for large numbers of participants.
Focus groups	Provide qualitative information, improve discovery of related issues, and establish relative importance and priority amongst issues and potential solutions.	Higher cost, assumes that the people in the focus groups are highly representative of the target population, unsuitable for large numbers of participants and can be biased if not well facilitated.
Paper-based surveys	Improved access to larger samples than focus groups, allow collection of qualitative and quantitative information, suitable for respondents who lack access to electronic communications and face to face methods. Lower labour costs to conduct than face-to-face surveys and focus groups.	Demand longer timeframes for distribution and collection of surveys can be costly in stationery and postage and may be less convenient for recipients to reply and have lower response rates as a consequence.
Telephone surveys	Require less time than paper-based survey methods to conduct, inexpensive for small samples and ubiquity of telephones.	Labour intensive and costly to implement for larger samples, may experience difficulty in contacting recipients.
Email-mediated surveys	Fast distribution, low cost for large numbers of recipients.	Uncertain about the status of receipt, reading and responding of the target sample. May have high labour cost in following up non-responders and processing responses. Recipients need email addresses.
Online survey tools.	Fast distribution, low cost for large numbers of recipients and lower costs for processing responses through database, statistical and graphical reporting automation and potentially more secure than email.	Recipients need Internet access.

The online survey toolmaker SurveyMonkey offers free-trial usage for limited numbers of survey recipients, and by-the-month or unlimited usage for a year, at reasonable rates. The product allows for Likert scale questions and provides considerable flexibility in the way the surveys may be configured and managed and the received data processed through spreadsheets with data graphics like Microsoft Excel.



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